



Page 1 of 2
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#3

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/001,923	10/23/2001		26336-10005

21130
BENESCH, FRIEDLANDER, COPLAN & ARONOFF LLP
ATTN: IP DEPARTMENT DOCKET CLERK
2300 BP TOWER
200 PUBLIC SQUARE
CLEVELAND, OH 44114

CONFIRMATION NO. 9934
FORMALITIES LETTER

OC000000007284690

Date Mailed: 01/09/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$216.
 - \$216 for 12 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1086.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



#3

PATENT
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Ali R. Rezai
Title : ELECTROSTIMULATION OF THE SYMPATHETIC NERVE CHAIN
Application No. : 10/001,923
Filing Date : October 23, 2001
Docket No. : 26336-10002

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION UNDER 37 C.F.R. 1.53(b)**

In response to the Notice to File Missing Parts mailed January 9, 2002, please find enclosed:

1. Combined Declaration and Power of Attorney;
2. A copy of the Submission of Substitute Drawings in compliance with 37 CFR 1.84, received by your office February 12, 2002;
3. A Request to Change Attorney Docket Number;
4. A Petition for a One Month Extension of Time; and
5. A Copy of the Notice to File Missing Parts.

As this is filed after the March 9, 2002 deadline, Applicant also respectfully requests a One Month Extension of Time.

Pursuant to the revised rules in 37 C.F.R. 1.27, Applicant claims entitlement to small entity status, and thus, all fees in connection with this application will be paid accordingly.

The Commissioner is hereby authorized to charge all fees associated with this response to Deposit Account No. 02-2051.

Respectfully submitted,

BENESCH, FRIEDLANDER,
COPLAN & ARONOFF LLP

Date April 9, 2002



Raymond A. Miller
Reg. No. 42,891
2300 BP Tower
200 Public Square
Cleveland, Ohio 44114
Tel. No. (216) 363-4417



5

PATENT APPLICATION

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application: Rezai

Serial No.: 10/001,923

Filing Date: October 23, 2001

Docket No.: 26336-10005(old)
26336-10002 (new)

Title: ELECTROSTIMULATION OF THE SYMPATHETIC NERVE CHAIN

Commissioner for Patents
Washington, D.C. 20231**REQUEST TO CHANGE ATTORNEY DOCKET NUMBER**

Dear Sir:

Applicant's attorney has changed its Attorney Docket Number from 26336-10005 (old) to 26336-10002 (new). Applicant's attorney requests that the Office file reflects this change and that all future communications relating to this file reference this new Attorney Docket Number.

If any fees are required by this communication, please charge the same to our Deposit Account No. 02-2051.

Respectfully submitted,

BENESCH, FRIEDLANDER
COPLAN & ARONOFF L.L.P.

Raymond A. Miller
Reg. No. 42,891
2300 BP Tower
200 Public Square
Cleveland, OH 44114-2378
(216) 363-4417



PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : REZAI, Ali
FOR : ELECTRICAL STIMULATION OF THE
SYMPATHETIC NERVE CHAIN
SERIAL NO. : 10/001,923
FILED : October 23, 2001
ATTORNEY DOCKET NO. : 26336-10002

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Washington, DC 20231

BEST AVAILABLE COPY

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8*

I hereby certify that the below-identified papers are being deposited with the United States Postal Service with sufficient postage as "FIRST CLASS MAIL" on January 23, 2002 in an envelope addressed to:
Commissioner for Patents, Washington, D.C. 20231

Gregory S. Kolocouris
(type or print name of person mailing paper)

[Signature]
Signature of person mailing paper

Items enclosed herewith:

1. Submission of Substitute Dra
2. Drawings (5 sheets)
3. Return Receipt Postcard

NO. _____

Inventor/Applicant: Ali Rezai Pat. No. _____
Title Electrical Stimulation Sympathetic Ser. No. 10/001,923
Attorney Docket No. 26336-10002 Initials GKO Filed 10/23/01
Date 1/23/02

☐ PATENT/DESIGN APPLICATION
____ New Application Transmittal
____ Cont. ____ Div. ____ C-I-P ____ Provisional
____ Declaration/Power of Atty.
____ pgs. Specification
____ pgs. Claims
____ total ____ independent
____ pgs. Abstract
____ Sheet(s) of drawing(s)
____ formal ____ informal
____ Preliminary Amendment
____ Claim for Right of Priority
____ Priority document(s)
____ Small Entity Statement
____ Final Fee
____ Response to missing parts

☐ AMENDMENT (Due _____)
____ Transmittal(s)
____ Extension of Time (For ____ month(s))

☒ OTHER Submission of Sub. Drawings (5 Sheets)
Transmittal Page;
Cert. of Mailing

☐ ASSIGNMENT
____ Transmittal ____ Confirmatory

☒ INFORMATION DISCLOSURE STATEMENT
____ PTO Form 1449 ____ Refs.

☐ CHECK(S) in Amount \$ _____

RECEIPT IS HEREBY ACKNOWLEDGED

Jan 23 2002 16:58 GKO CLE 26336/10002



57

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IN RE APPLICATION OF : REZAI, Ali
FOR : ELECTRICAL STIMULATION OF THE
SYMPATHETIC NERVE CHAIN
SERIAL NO. : 10/001,923
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Washington, DC 20231

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I hereby certify that the below-identified papers are being deposited with the United States Postal Service with sufficient postage as "FIRST CLASS MAIL" on April 9, 2002 in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231

Darci L. Capp
(type or print name of person mailing paper)

Darci Capp
Signature of person mailing paper

Items enclosed herewith:

1. A Response to Notice to File Missing Parts;
2. Combined Declaration and Power of Attorney;
3. A copy of the Submission of Substitute Drawings in compliance with 37 CFR 1.84, received by your office February 12, 2002
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10001923.042202

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SERIAL NO. : 10/001,923
FILED : October 23, 2001
ATTORNEY DOCKET NO. : 26336-10002

Commissioner for Patents
Washington, DC 20231

SUBMISSION OF SUBSTITUTE DRAWINGS
IN COMPLIANCE WITH 37 CFR 1.84

Dear Sir:

Submitted herewith are five (5) sheets of drawings (Figures 1 through 5) for filing in connection with the above-identified application. The drawings have the identifying indicia suggested in 37 CFR § 1.84 (c) on the reverse side thereof.

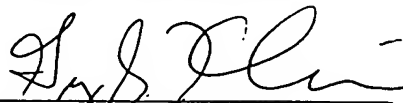
Please substitute the enclosed drawings for the drawings previously submitted in the above-identified application. The enclosed drawings contain no new matter over that contained in the drawings originally filed in the above-identified application.

Applicant believes no fees are due with this submission; however, if any fees are necessary, the Commissioner is authorized to charge Deposit Account No. 02-2051.

Respectfully submitted,

Dated: January 23, 2002

By:


Gregory S. Kolocouris
Reg. No. 47,714

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